

PATENT  
144-002

Applicant or Patentee: David C. Watkins, et al.

Serial or Patent No.:

Filed or Issued herewith

for: **LIPID VESICLES FORMED WITH ALKYLAMMONIUM FATTY ACID SALTS**

**VERIFIED STATEMENT (DECLARATION) CLAIMING SMALL ENTITY  
STATUS (37 CFR 1.9(f) AND 1.27(c)) - SMALL BUSINESS CONCERN**

I hereby declare that I am an official of the small business concern identified below and empowered to act on its behalf:

Collaborative Laboratories, Inc.  
3 Technology Drive  
East Setauket, N.Y. 11733

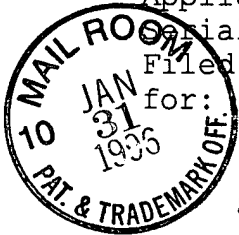
I hereby declare that the above-identified small business concern qualifies as a small business concern as defined in 13 CFR 121.3-18 and reproduced in 37 CFR 1.9(d), for purposes of paying reduced fees under section 41(a) and (b) of Title 35, United States Code, in that the number of employees of the concern, including those of its affiliates, does not exceed 500 persons. For the purposes of this statement, (1) the number of persons of the small business concern is the average over the previous fiscal year of the concern of the persons employed on a full-time, part-time or temporary basis during each of the pay periods of fiscal year, and (2) concerns are affiliates of each other when either directly or indirectly, one concern controls or has power to control the other, or a third party or parties controls or has power to control both.

I hereby convey that rights under contract or law have been conveyed, to and remain with the small business concern identified above with regard to the invention entitled:

**LIPID VESICLES FORMED WITH ALKYLAMMONIUM FATTY ACID SALTS**

by inventors David C. Watkins, Thomas J. Vichroski and James A. Hayward, and described in the specification filed herewith.

If the rights held by the above identified small business concern are not exclusive, each individual, concern or organization having rights in the invention is listed below and no rights to the invention are held by any other person, other than the inventor, who would not qualify as an inventor under 37 CFR 1.9(c) if that person made the invention, or by any concern which would not qualify as a small business concern under 37 CFR 1.9(d), or a nonprofit organization under 37 CFR 1.9(e).



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**COMBINED DECLARATION AND POWER OF ATTORNEY**

As a below-named inventor, I hereby declare that:

This declaration is an original declaration for an original patent application.

My residence, post office address and citizenship are as stated below next to my name, I believe I am the original, first and sole inventor of the subject matter which is claimed and for which a patent is sought for the invention entitled:

**LIPID VESICLES FORMED WITH ALKYLAMMONIUM FATTY ACID SALTS**

the Specification of which is attached hereto.

I hereby state that I have review and understand the contents of the above-identified specification, including the claims, as amended by any amendment, if any, referred to above.

I acknowledge the duty to disclose information which is material to the examination of this application in accordance with Title 37, Code of Federal Regulations, Sect. 1.56.

No claim of foreign priority benefits under 35 U.S.C. Section 119 are claimed herein; no foreign applications from which foreign priority could be claimed have been filed related to the present application.

**POWER OF ATTORNEY**

As a named inventor, I hereby appoint:

John F. Vodopia, Esq., Reg. No. 36,299,

as my attorney to prosecute this application and transact all business in the Patent and Trademark Office connected therewith. John F. Vodopia, Esq., practices as himself at:

3 Lone Oak Drive  
Centerport, N.Y. 11721.

Send all correspondence to:

John F. Vodopia, Esq.  
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Each person, concern or organization to which I have assigned, granted, conveyed, or licensed or am under obligation under contract or law to assign, grant, convey, or license any rights is listed below:

Full Name:

Address:

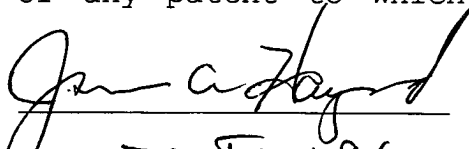
☐ Individual ☐ Small business Concern ☐ Nonprofit Organization

☒ there is no such person, concern or organization.

I acknowledge the duty to file, in this application or patent, notification of any change in status resulting in loss of entitlement to small entity status prior to paying, or at the time of paying, the earliest of the issue fee or any maintenance fee due after the date on which status as a small entity is no longer appropriate. (37 CFR 1.28(b)).

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code, and that such willful false statements may jeopardize the validity of the application, any patent issuing thereon, or any patent to which this verified statement is directed.

Dr. James A. Hayward, Pres.  
Address: Collaborative Laboratories, Inc.  
3 Technology Drive  
East Setauket, N.Y. 11733

  
Date: 29 JAN 96

End of Small Entity Small Business Statement

# DECLARATION

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under section 1001 of Title 18 of the United States Code, and that such willful false statements may jeopardize the validity of the application or any patent issued therefrom.

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